

Global Convention on CORPORATE ETHICS & RISK MANAGEMENT

17-18 February 2017, Mumbai, India

also presentation of



Golden Peacock Awards[®]
A Strategic Tool to Lead the Competition
for Risk Management & Corporate Ethics

Theme: "Corporate Ethics and Risk Management in an Uncertain World"

DISTINGUISHED GUESTS & SPEAKERS



Adi Godrej
Chairman
Godrej Group



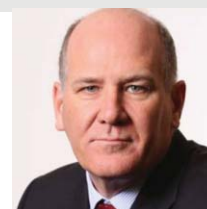
Arun Tiwari
Chairman and MD
Union Bank of India



Nowell Seaman
President
RIMS, The Risk Management
Society, US



Ashish Chauhan
MD & CEO
Bombay Stock Exchange



Phil Cotter
Managing Director
Thomson Reuters Risk
Business



Shailesh V. Haribhakti
Group Chairman
Baker Tilly DHC Pvt Ltd



Sanjaya Gupta
Managing Director
PNB Housing Finance Ltd.



Girija Kumar
CMD (Officiating)
United India Insurance
Company Ltd.



Praveen K. Gupta
Managing Director
(Compliance and Risk)
State Bank of India



Himanshu Kaji
Executive Director
Edelweiss Group



Akhil Bansal
Deputy CEO
KPMG, India



Dr. Vicky Comino
Senior Lecturer
The University of
Queensland, Australia



**Prof Krishnamurthy
Subramanian**
Member Board of Directors
Bandhan Bank



Paul Taylor
Managing Director
Risk Management Option
Limited (UK)

In Partnership with

Gold Partners

Principal Knowledge Partner

Theme Partner

Silver Partner



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Strategic Partner

Associate Partner

Supported By





Justice M. N. Venkatachaliah
Honorable Chairman, IOD
former Chief Justice of India

Invitation

Dear Friend,

I have pleasure in inviting you to the 'Global Convention' on 'Corporate Ethics & Risk Management', being held on 17-18 February, 2017, in Mumbai, India, in collaboration with 'Bombay Stock Exchange', India.

The oversight, understanding and mitigation of Risks in a volatile, uncertain, complex and ambiguous world have never been more crucial. No business transaction takes place without taking risk. Vulnerability is an indication of the susceptibility of the organization, notwithstanding uncertainties in the environment.

Following the collapse of corporates like Enron, Lehman Brothers and Satyam etc, the world continues to struggle with the consequences of the first systemic ethical crisis of the Twenty First Century. Yet, larger and potentially more harmful risks are lurking. These include climate change, fragility in global supply chains and cyber threat and impact across an organization in a way that most 'traditional' risks cannot. Also important is the collective impact of social media, and a 24/7 news cycle, which can turn a very small event into a rolling national and international story.

Sustainable growth can be ensured only through good governance and adequate risk management. Risk management is no longer discretionary. Risks can come from uncertainty in financial markets, project failures, legal liabilities, credit risk, accidents, natural causes and disasters, as well as deliberate attack from an adversary or events of uncertain or unpredictable root-cause.

Theme: “Corporate Ethics and Risk Management in an Uncertain World”

Enterprise-wide Risk Management (ERM) approach has encouraged organizations to build a comprehensive risk strategy into their business operations. ERM is increasingly being seen as the preferred approach to risk management, as it is strategically focused and comprehensive. Implementing ERM is an ongoing journey of organizational development, through resilience and leadership for sustainability.

The reason for selecting Mumbai as the venue is the unprecedented business opportunities offered by this financial capital of India. The Convention will provide a platform to interact with movers and shakers, and top risk management professionals.

There are many ways you can contribute and benefit from the Convention - presenting your case studies for risk management or, show casing your products, profiling your brand as a sponsor, exhibitor advertiser or becoming a partner to promote the convention among your contacts. Together, we could help promote your business strategy to include 'Risk Management', as an Actionable Agenda'.

IOD looks forward to welcoming you, at this special Global Convention.

Yours sincerely,

M. N. Venkatachaliah



Mr. Kumar Mangalam Birla
addressing at the conference on
Corporate Governance in New Delhi



Panelists with Prof Michael Useem of Wharton School, University of Pennsylvania at an evening workshop “Boards That Lead”

Theme: -“Corporate Ethics and Risk Management in an Uncertain World”

TOPICS

Building a risk resilient enterprise - Risk Management Framework and Techniques

- Risk prevention, Management, and Control.
- Risk Factors and cognitive Mapping of Risks.
- Risk categorization, Assessment Criteria, and Techniques.
- Managing Risks in the supply chain – Outsourcing and Vendor risk management.
- Changing Role of Chief Risk officer-Governance, Compliance & Risk Mitigation

Enterprise Risk Management: Board Perspectives

- Adaptive processes to address – risk categories, positioning and strong risk culture.
- Risk oversight functions of the Board.
- The Board – Risk appetite and tolerance.
- Corporate governance and Risk Culture of the Board.
- Internal Audit Committee- Risk based Internal Audit

Corporate Ethics and Legal Compliance Management System

- Ethics in Business Decision Making.
- Role of the Board – Creating Ethical climate for Corporate Governance.
- Accounting of Corporate Social and Ethical Values.
- Corporate Governance – Ethical Issues and Code of Conduct
- Managing Risk through Integrity, Ethics and Culture.

Digitization & Cyber Security – Fraught with staggering vulnerability

- Net banking frauds – Cyber Money Laundering and data theft.
- International Law- oversight and regulation in Cyber Space.
- Challenges of Global Cyber Network Security.
- Data and Cloud Security – Prevention of Cyber Espionage.
- Cyber Security breaches – Cyber Hygiene & Anti Malware Software

Challenges of Managing Risks in Financial Sector

- Countering Challenges in Managing Banking risk resilience.
- Collateral and Credit risk in corporate and retail banking.
- A minimum Risk based approach for Public Sector banks
- Challenges before Insurance Companies in managing all round risks
- Risk exposure of start -ups for venture capitalists.

Ethical Analysis & Predicting Risk in an Uncertain World

- Enterprise Risk Management, COSO and ISO 31000 – A structured approach to standardization.
- Challenges of Corporate Ethics in a multinational environment
- The Ethics of Risk and Ethical Analysis
- Embedding Risk -Aware culture into Organization strategy
- Global Risk Measures in the age of Disruptive Technology and Social Media

Guidelines For Paper Presenters

All paper presenters are required to send their papers by e-mail to sm@iodglobal.com by 27 January, 2017. A brief CV of 100 words with passport size colour photograph are also required. Paper guidelines are available on our website www.iodglobal.com

Who Would Benefit

- Company chairmen, directors, presidents, CEOs, CFOs, bankers, fund managers, company secretaries, investors, chartered accountants management analysts, risk officers, insurance professional financial consultants & academics
- All stakeholders interested in protection of the well-being of society, generating profits and improving the quality of life.
- Legislators, lawyers, jurists and all those concerned with efficient and ethical conduct of corporate and good governance.
- Policy makers, political leaders, government officials and decision makers in emerging and developed economies.
- Businesses - Corporate Large and Small & Medium Enterprises (SMEs)



Subhash Desai
Hon'ble Minister of Industries
Government of Maharashtra



Swadheen S. Kshatriya, IAS
Chief Secretary
Government of Maharashtra



Dr. R. Seetharaman
Group Chief Executive Officer
Doha Bank, Qatar

Marketing Options

(a) Sponsorship

The Global Convention offers a unique opportunity to project your organisation's commitment to Corporate Ethics and Risk Management concerns. The convention will be attended by large number of Boardroom Members, CFOs & Risk Management Professionals.

Partnership Rates are:

Categories	Indian (in ₹)	International (in US \$)
Principal Partner	15,00,000	25,000
Platinum Partner	10,00,000	17,500
Gold Partner	7,50,000	12,500
Silver Partner	5,00,000	8,500
Associate Partner	3,50,000	5,500

Advertisements

A Convention Souvenir Book will be released on the day of the convention. These will also be distributed to members and associates, industry leaders, concerned govt departments, decision makers, eminent persons, NGO's organizations, and standards institutions etc. worldwide.

The tariff for A-4 size paper, colour Advertisement (297mm x 210mm with 3mm bleed all round) in the Convention Souvenir, is as under:

Place	INDIAN (₹)	US (\$)
Back Cover	120,000	1700
Inside Front Cover	90,000	1400
Inside Back Cover	80,000	1200
Full Page Inside	60,000	800

Service Tax 15% will be extra, as applicable



Golden Peacock Awards[®]

A Strategic Tool to Lead the Competition

Golden Peacock Awards, instituted by Institute Of Directors in 1991, are now regarded as annual benchmark of Corporate Excellence worldwide. Golden Peacock Awards Secretariat receives a large number of entries, from countries all over the globe. Golden Peacock Awards have been instituted to celebrate and honour the best, as recognition of their unique achievements to build their Quality and Brand.

The selection of award winners is an elaborate process by a team of professional independent assessors. The shortlisted finalist applicants are then submitted to a Jury of eminent people, known for their independence and impartiality headed by Justice M. N. Venkatachaliah, Chairman, IOD Advisory Council and former Chief Justice of India.

Currently, the Golden Peacock Awards Secretariat is inviting applications for the following institutional awards for the year 2016:



Azim Premji, Chairman, Wipro Limited receives
Golden Peacock Business Leadership Award

- Golden Peacock Award for Risk Management
- Golden Peacock Award for Corporate Ethics

The above Golden Peacock Award will be conferred, during the Global Convention on Corporate Ethics & Risk Management at Mumbai on 17 February, 2017.

LAST DATE FOR SUBMISSION OF APPLICATION
10 January, 2017



IOD conducted a training programme on "Transforming the Indian Boardroom" as a part of Masterclass for Directors

REGISTRATION FORM

I am interested in participating in the "Global Convention on Corporate Ethics and Risk Management" as a Delegate / Speaker / Sponsor / Partner / Advertiser _____

To register, please complete this registration form in BLOCK LETTERS and return it to the Conference Secretariat, at the address below by email/post/fax, together with payment of all fees. Registration will not be effective, until the payment has been received. To register by email, please fill in Registration Form and e-mail to info@iodglobal.com. To book online, visit www.iodglobal.com.

Name Dr/Mr/Ms _____ Designation _____
 Organization _____
 Address _____
 _____ Postal Code _____ Country _____
 Telephone _____ Mobile _____ Fax _____
 E-mail _____

FOR OVERSEAS PARTICIPANTS (who would need visa invitation letters)

Name (as mentioned in the passport) _____
 Passport No. _____ Valid up to _____ Citizenship _____

Are you a member of IOD, India (Yes / No): _____

PAYMENT DETAILS

The total amount for INR ₹ _____ may be paid by either of the following (please tick appropriate payment box):

- (A) Cheque at Par or Demand Draft, payable to a bank in New Delhi, India, payable to Institute of Directors, New Delhi
- (B) Bank Transfer to Corporation Bank, M-3, Greater Kailash Part-II, New Delhi- 110048, India, Tel No. 011- 29210667, Account Name: Institute of Directors, A/C Type: Current A/C, 9-Digit Code No of the Bank and Branch (MICR Code) : 110017005, Account Number: 028600201002954, IFSC CODE: CORP000286, PAN No. : AAAB10002J, SWIFT CODE: CORPINBB286
- (C) Credit Card: Please log on to www.iodglobal.com for online payment.
- (Please enter the amount in INR)



Date:

(Signature)
 Name

ABOUT US

INSTITUTE OF DIRECTORS, India :

Institute Of Directors (IOD) was established in India on 12 July 1990, as an apex association of Directors under the India's 'Societies Registration Act XXI of 1860' to improve their professional competence. It has since grown to associate with more than 30,000 senior executives from Govt, PSU and Private organizations in India and abroad. IOD organises a number of international events each year, in India and certain other select countries.

The IOD's 'Masterclass for Directors' covers training in corporate directorship, and 'Golden Peacock Awards' in 14 different corporate disciplines, and other flagship initiatives aim to improve the competitiveness of individual directors and their organizations. The 'Masterclass' is a condensed programme for top management and also prepares participants for the role of Independent Directors of companies. Few business awards today, receive the kind of recognition and adulation among peers, that Golden Peacock does. Both have become global benchmarks.

Registration Package (Non-Residential Convention)

Includes Lunch, Dinner, Tea / Coffee, Refreshments and Literature for Conference Proceedings & Souvenir etc.

Place	Rate	
	INDIAN (₹)	US (\$)
Delegates	12,000	200
Students	8,000	120
ICSI Members	8,000	120
ICMAI Members	8,000	120

Service Tax 15% will be extra, as applicable

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Hyderabad

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