

# National Convention on **RISK MANAGEMENT**

18 December 2015,  
Hotel Windsor Manor, Bengaluru, India



Also presentation of  
**Golden Peacock Awards**  
for Risk Management

Theme:

## managing risk by the board

### Key Highlights

- The Board's Role: Embracing Corporate Risk and emerging paradigms
- Risk Management: Principles and Implementation
- Strategy and Mitigation of Corporate Governance Risks
- Combating Business Risks and Corporate Frauds
- Identifying, Measuring & Mitigating Risks for Start Ups
- Financial Services Risk Management

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**Justice M.N. Venkatachaliah**  
Honorable Chairman, IOD  
former Chief Justice of India

## Invitation

Dear Friend,

I have great pleasure in inviting you to the National Convention on 'Risk Management', being held on 18 December, 2015, at Hotel Windsor Manor, Bengaluru, India. The theme of the convention is "Managing Risk by the Board".

Sustainable growth can be derived only through good governance and adequate risk management. Risk management is no longer discretionary, but essential for managing in today's increasingly complex and fast moving world. It takes commitment from the top, a sound methodology and discipline in its application, to obtain the maximum benefits.

Risks can come from uncertainty in financial markets, project failures, legal liabilities, credit risk, accidents, natural causes, and disasters as well as deliberate attack from an adversary or events of uncertain or unpredictable root-cause.

Enterprise-wide risk management (ERM) approach has encouraged organizations to build a comprehensive risk strategy into their business operations. ERM is increasingly being seen as the preferred approach to risk management, as it is strategically focused and comprehensive. Implementing ERM is an ongoing journey of organizational development, through its resilient leadership.

Scenario planning of risks is highly desirable. Current management trends have widened the scope of Risk Management including risks of intangibles, whose values have risen way beyond their traditional economic value.

From leadership role of Risk Managers, and implementation of ERM, we would go through the corporate risk management strategies of a number of top companies, and explore their path to success.

The reason for selecting Bengaluru as the venue is the unprecedented business opportunities offered by this fast growing entrepreneurial IT capital of India. This national convention will provide a platform to interact with movers and shakers of Industry and top risk management professionals.

There are many ways you can contribute and benefit from the Convention - presenting your case studies for risk management or, show-casing your products, profiling your brand as a sponsor, exhibitor or advertiser or becoming a partner to promote the conference among your contacts. Together, we could help promote your business strategy to include 'Risk Management', as an Actionable Agenda'.

IOD looks forward to welcoming you, at this National Convention.

Yours sincerely,

M.N.Venkatachaliah



Mr. Kumarmanglam Birla  
addressing at the conference on  
Corporate Governance, New Delhi



Panelist with Prof Michael Useem of Wharton School, University of Pennsylvania at an evening workshop "Boards That Lead"

### OBJECTIVES

- The Need for aligning business model, strategy and risk agenda,
- A roadmap to risk leadership, through risk maturity index.
- Help boards establish a dedicated risk culture and risk leadership role.
- Companies to remain 'plugged in', to navigate a fast changing dynamic external risk environment.

### TOPICS

#### The Board's Role: Embracing Corporate Risk and emerging paradigms

- Risk and governance culture for boards today.
- Corporate governance codes and widening risk embracing issues, including regulatory & systemic risks
- Making boards accountable for effective Internal control, through checks, balances and audit.
- Periodic appraisal of Risk Assessment and executive management controls, through mitigation strategy, innovations and rewards.
- Widening role and importance of insuring against corporate risks.

#### Risk Management: Principles and Implementation

- ISO 31000 Implementing ERM- An ongoing journey of organizational development.
- Business drivers of an Enterprise Risk Management (ERM) initiative.
- Structured Risk Management Process- systemic risk assessment, analysis and evaluation.
- Strategy to integrate and embed Risk Management, and horizon scanning and scenario planning in the governance process.
- Building risk resilient enterprise- advancing through higher levels of program maturity

### Strategy and Mitigation of Corporate Governance Risks

- Governance risks matrix and mitigation compliance software tools.
- Shifts from process focused to culture-centric governance.
- Integrating and aligning risk process with organization's planning process, for budgeting, evaluation, control, review and audit.
- Mapping supply chain vulnerability and risk appetite, across enterprise.
- Risks of intangibles, whose values have risen way beyond traditional economic values.

### Combating Business Risks and Corporate Frauds

- Obligation to comply with regulations- a bureaucratic burden ?
- Failure to enforce regulatory rules, supervision, and control.
- Concerns for regulatory and systemic risks including SEBI (clause 49) Rules.
- Strategies for preventing and mitigating increasing corporate frauds & cyber risks.
- Handling risks due to culture, corruption, espionage, bribery, and corporate frauds.

### Identifying, Measuring & Mitigating Risks for Start Ups

- Market structures & Systemic Risks of start ups
- Competitive Risks with cooperative strategies
- Operational risk management with uprising technological Innovation
- Financial Risks in uncertain world stemming from lax policies
- Managing Legal and Regulatory Risks for 3Ps ( people, plant, products)

### Financial Services Risk Management

- Credit Risk Policies, Governance & Regulatory framework and Compliance
- Market & Liquidity Risk
- Development and Validation of Financial Risk models
- Operational Risk & Control Assessments
- Role of Technology in the age of Globalisation and Innovation

### Guidelines For Paper Presenters

All paper presenters are required to send their papers by e-mail to [sushil@iodonline.com](mailto:sushil@iodonline.com). A brief CV of 100 words with passport size colour photograph are also required. Speaker guidelines are available on our website [www.iodonline.com](http://www.iodonline.com)

### Who Would Benefit

- Company chairmen, directors, presidents, CEOs, CFOs, bankers, fund managers, company secretaries, investors, chartered accountants management analysts, risk officers, insurance professional financial consultants & academics
- All stakeholders interested in protection of the well-being of society, generating profits and improving the quality of life.
- Legislators, lawyers, jurists and all those concerned with efficient and ethical conduct of corporate and good governance.
- Policy makers, political leaders, government officials and decision makers in emerging and developed economies.
- Businesses - Corporate Large and Small & Medium Enterprises (SMEs)



R. Seetharaman Group Chief Executive Officer, Doha Bank, Qatar



Yogesh Chander Deveshwar, Chairman, ITC Ltd, Addressing at IOD's Conference

### Marketing Options

#### (a) Sponsorship

The National Convention offers a unique opportunity to project your organisation's commitment to Corporate Risk Management concerns. The convention will be attended by large number of Boardroom Members, CFOs & Risk Management Professionals.

#### Sponsorship Rates are

Categories	Indian (in ₹)
Principal Sponsor	10,00,000
Platinum Sponsor	7,50,000
Gold Sponsor	5,00,000
Silver Sponsor	3,00,000
Associate Sponsor	2,00,000

#### Advertisements

A Convention Souvenir Book will be released on the day of the convention. These will also be distributed to, members and associates, industry leaders, concerned govt departments, decision makers, eminent persons, NGO's organizations, and standards institutions etc. worldwide.

The tariff for A-4 size paper, colour Advertisement (297mm x 210mm with 3mm bleed all round) in the Conference Souvenir, is as under:

Place	Rate (₹)
	INDIAN
Back Cover	1,00,000
Inside Front Cover	80,000
Inside Back Cover	70,000
Full Page Color	50,000



Release of Corporate Governance Souvenir by **Shri M Venkaiah Naidu**, Minister of Urban Development and Parliamentary Affairs, **Shri Piyush Goyal**, Minister of Power and **Justice Arijit Pasayat**, Co-chairman Institute Of Directors & Former Judge Supreme Court Of India



## Golden Peacock Awards

### *A Strategic Tool to Lead the Competition*

Golden Peacock Awards, instituted by Institute of Directors in 1991, are now regarded as benchmark of Corporate Excellence worldwide.

Today Golden Peacock Awards Secretariat receives over 1,000 entries per year for various awards, from over 25 countries worldwide. The Golden Peacock Awards have been instituted to celebrate and honour the best of best, as recognition of their unique achievements to build a brand.

The selection of award winners is an elaborate process by a team of professional independent assessors. The short-listed finalist applicants are reviewed by a Jury of eminent people, known for their independence and impartiality, headed by Justice P N Bhagwati former Chief Justice of India,

Currently the Golden Peacock Awards Secretariat is inviting applications for the following institutional awards for the year 2015.



Azim Premji, Chairman, Wipro Limited receives Golden Peacock Business Leadership Award

#### • Golden Peacock Award for Risk Management

The above Golden Peacock Award will be conferred, during the National Conference on Risk Management at Bengaluru on, 18 December 2015.

**LAST DATE FOR SUBMISSION : 10 November 2015**

The application form and self-assessment criteria can be downloaded from website [www.goldenpeacockawards.com](http://www.goldenpeacockawards.com)



IOD conducted a training programme on "Transforming the Indian Boardroom" as a part of Masterclass for Directors

## REGISTRATION FORM

I am interested in participating in the "National Convention on 'Risk Management'" as a Delegate / Speaker / Sponsor / Partner / Advertiser

To register, please complete this registration form in BLOCK LETTERS and return it to the Conference Secretariat, at the address below by email/post/fax, together with payment of all fees. Registration will not be effective, until the payment has been received. To register by email, please fill in Registration Form and e-mail to [info@iodonline.com](mailto:info@iodonline.com). To book online, visit [www.iodonline.com](http://www.iodonline.com).

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FOR OVERSEAS PARTICIPANTS (who would need visa invitation letters)

Name (as mentioned in the passport) \_\_\_\_\_  
 Passport No. \_\_\_\_\_ Valid up to \_\_\_\_\_ Citizenship \_\_\_\_\_

Are you a member of IOD, India (Yes / No): .....

### PAYMENT DETAILS

The total amount for INR ₹ \_\_\_\_\_ may be paid by either of the following (please tick appropriate payment box):

- (A) Cheque at Par or Demand Draft, payable to a bank in New Delhi, India, payable to Institute of Directors, New Delhi
- (B) Bank Transfer to Corporation Bank, M 3&4, Greater Kailash Part-II, New Delhi- 48, India, Tel No. 011- 29210667, Account Name : Institute of Directors, A/C Type: Current A/C, 9-Digit Code No of the Bank and Branch (MICR Code) : 110017005, Account Number: 028600201002954, IFSC CODE: CORP0000286, PAN No. : AAABI0002J, SWIFT CODE: CORPINBB286
- (C) Credit Card: Please log on to [www.iodonline.com](http://www.iodonline.com) for online payment.  
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## ABOUT US

### Institute of Directors, India :

Established in 1990, Institute of Directors, India is an independent, non-profit apex association of professional corporate directors. It has since grown to associate with more than 30,000 senior executives representing prominent organisations from both the Private & Public Sectors and Govt. from India and abroad and is now globally, regarded as one of the premier organizations for development, training and networking of corporate directors to attain their leadership role.

Institute of Directors, India's 'Masterclass for Directors', the training programme for corporate directorship and Golden Peacock Awards in 13 different disciplines and other flagship initiatives aim to improve the competitiveness of individual Directors and their organizations. The 'Masterclass' programme prepares participants to become Independent Directors of listed companies. Both have become global benchmarks. No business award today receives the kind of recognition and adulation among peers, that the Golden Peacock does.

### Registration Package (Non-Residential Convention)

Includes Lunch, Dinner, Tea / Coffee, Refreshments and Literature for Conference Proceedings & Souvenir etc.

Rate	Indian (in ₹)
<b>Categories</b>	
Delegates	6,500
Students	5,000

## INSTITUTE OF DIRECTORS (India)

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